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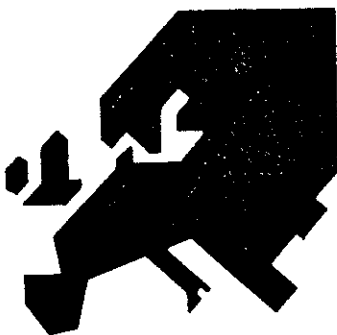
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# EVALUATION OF ON-LINE CONVENTIONAL AND ADAPTIVE CONTROLLERS FOR THE PENICILLIN G FED-BATCH FERMENTATION BASED ON SIMULATIONS USING A NEW MATHEMATICAL MODEL

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## 1 Abstract

Nowadays the optimization of product formation during penicillin G fed-batch fermentation as a part of total process control has gained a renewed attention (Spriet 1987). In the last two decades several attempts have been made towards modeling the fermentation process. Among others, the models proposed by Heijnen et al. (1979) and by Bajpai and Reuß (1980, 1981) are well suited for advanced control purposes (Lim et al. 1986, Van Impe et al. 1990, Van Impe et al. 1991a). However, based on recent advances in the biochemistry of the penicillin synthesis, an updated model has been proposed in order to correct some physical and biochemical shortcomings in both models mentioned (Nicolai et al. 1990).

Van Impe et al. (1991b) have applied optimal control theory to derive the substrate feeding strategy which optimizes the final amount of penicillin, for a given total amount of substrate. However, as the optimal profile is not obtained in complete closed-loop form and requires the on-line determination of the full bioreactor state, heuristic biphasic control strategies have been developed based on microbial insight (Van Impe et al. 1990, 1991a-b, Bonte et al. 1989). They represent a more realistic control objective, namely set-point control.

In this paper we shall indicate some drawbacks of these heuristic controllers, in particular with respect to their robustness. On the other hand, we shall illustrate that they can serve well as a basis for the development of more reliable control schemes: both conventional PID-based and adaptive model-independent controllers shall be investigated. In these schemes the time delay due to the on-line state determination shall be incorporated. We shall illustrate the performance of a discrete-time implementation with some typical simulation results.

## 2 The model of Nicolai et al.

### 2.1 Mathematical description

The penicillin fermentation process can be modeled by the following set of mass balance equations:

$$\frac{dS}{dt} = -\sigma X + s_F u \quad (1)$$

$$\frac{dX}{dt} = \mu X \quad (2)$$

$$\frac{dP}{dt} = \pi X - k_h P \quad (3)$$

$$\frac{dV}{dt} = u \quad (4)$$

For an explanation of all symbols used, we refer to the Nomenclature at the end of this paper.

Based on recent advances in the biochemical knowledge of the penicillin biosynthesis, Nicolai et al. (1990) have presented an updated unstructured mathematical model. The following specific rates have been incorporated in the model equations (1-4):

$$\pi = \pi_m \frac{C_s}{K_p + C_s + C_s^2/K_i} \quad (5)$$

$$\mu = \mu_{substr} - Y_{z/s}(f_m(C_s)m_s + f_p(C_s)\pi/Y_{p/s}) \quad (6)$$

where  $\mu_{substr}$  is the specific substrate to biomass conversion rate, either modeled by Contois- or Monod-kinetics:

$$\mu_{substr} = \mu_C \frac{C_s}{K_x C_x + C_s} \text{ or } \mu_{substr} = \mu_M \frac{C_s}{K_s + C_s} \quad (7)$$

The functions  $f_m(C_s)$  and  $f_p(C_s)$ , a measure for the endogenous fraction of respectively maintenance requirements and production, are chosen as follows:

$$f_m(C_s) = \exp(-C_s/E_m) \quad f_p(C_s) = \exp(-C_s/E_p) \quad (8)$$

As a result of balancing, the specific glucose uptake rate is given by:

$$\sigma = \mu/Y_{z/s} + m_s + \pi/Y_{p/s} \quad (9)$$

A physical interpretation can be assigned to the parameters  $E_m$  and  $E_p$  as follows: they represent the glucose concentration at which the respective endogenous fraction is equal to 36.8 percent.

For intermediate values of  $E_m$  and  $E_p$ , there is a smooth transition between maintenance and endogenous metabolism as a function of  $C_s$ . The ability to choose different values for  $E_m$  and  $E_p$  makes it possible to simulate different endogenous fractions of respectively maintenance requirements and production.

### 2.2 Simulation results

All computations were done on a VAX-VMS system, using a Runge-Kutta-Gill solver and the NAG-routines D02EHF and D02EBF for stiff systems integration, and the MATRIX-routine MAXLIKE for parameter estimation. We have made the following assumptions. We always set  $E_m$  equal to  $E_p$  (further on simply denoted with  $E$ ), as there is no a priori reason for not doing so. For  $\mu_{substr}$  Contois-kinetics has been chosen. The nominal parameter set (due to Bajpai and Reuß 1981) and the initial conditions are summarised in Table 1. The total amount of substrate available for fermentation is equal to  $\alpha = 1500$  g. A set of 120 reference data for  $S$ ,  $X$  and  $P$  has been

generated, using the original Bajpai and Reuß model with a zero initial amount of substrate and a constant feed rate strategy during 120 hrs.

parameters			
$\mu_C$	0.11	$K_x$	0.006
$\pi_m$	0.004	$k_h$	0.01
$K_p$	0.0001	$K_i$	0.1
$Y_{x/s}$	0.47	$Y_{p/s}$	1.2
$m_s$	0.029	$s_F$	500
initial conditions			
$X_0$	10.5	$S_0$	to be specified
$P_0$	0	$V_0$	$7 + S_0/s_F$
$t_0$	0	$\alpha$	1500

Table 1 Parameters and initial conditions

We have tried to fit the model to these reference data in 2 limiting cases: (1)  $E = 1 \cdot 10^{-9}$  g/L (simulating a maintenance metabolism) (2)  $E = 1 \cdot 10^{+12}$  g/L (simulating an endogenous metabolism).

The value of  $\pi_m$  is adjusted—since its value seems not very reliable (Nicolai et al. 1990)—so as to minimize the Euclidian distance to the reference data (Table 2). Of course, the results for the maintenance model coincide with the reference data, as  $C_s$  never becomes negative for this particular feeding strategy. For the endogenous model, the fit of  $X$  and  $P$  is still very good, although the  $S$ -profiles differ somewhat in the growth phase.

$E$	$\pi_m$	$S(t_f)$	$X(t_f)$	$P(t_f)$
$1 \cdot 10^{-9}$	$4.0000 \cdot 10^{-3}$	$4.98 \cdot 10^{-2}$	330.28	59.65
$1 \cdot 10^{+12}$	$5.2861 \cdot 10^{-3}$	$3.82 \cdot 10^{-1}$	330.97	60.82

Table 2 Estimation of  $\pi_m$  and corresponding final state ( $t_f = 120$  hrs), for some values of  $E$

We believe that this is a very important result, as it indicates that it is virtually impossible to make a distinction between different kinds of metabolic behaviour, using data from fermentations with this particular feeding strategy. However, it is indicated elsewhere that the metabolic assumptions might be very important for feeding strategy optimisation (Van Impe et al. 1991b).

## 2.3 The optimization problem

Numerical values for the initial conditions are mentioned in Table 1.  $X_0$  and  $P_0$  are given,  $S_0$  and  $V_0$  are related by ( $V_0$  denotes the given initial volume without substrate):  $V_0 = V_s + S_0/s_F$ . Glucose is added as a solution with concentration  $s_F$ .

The optimisation problem is to determine for the given set of equations (1-4) the optimal feed rate profile that minimizes the performance index:  $J(u) = -P(t_f)$ , i.e. maximises the final amount of product, subject to the following constraints:

- $t_0 = 0$ ,  $t_f$  = free
  - all variables have to be kept positive:
- $$S(t), X(t), P(t), V(t) \geq 0, u(t) \geq 0, \forall t \in [0, t_f]$$
- the initial amount of substrate  $S_0$  is free; the initial conditions  $S_0$  and  $V_0$  are only constrained by the given equation. In other words, some initial conditions can be manipulated to minimise the performance measure:

$$J \equiv J(u, S_0) = -P(t_f) \quad (10)$$

- the total amount of feed is fixed:  $S_0 + s_F \int_0^{t_f} u(t) dt = \alpha$
- The last isoperimetric constraint on the input is equivalent to the physical constraint  $V(t_f) = V_f$ ,  $V_f$  fixed (4).

## 3 Heuristic control strategies

### 3.1 Optimal Control solution

The optimal feeding strategy which solves the above optimization problem has been described by Van Impe et al. (1991b) using Pontryagin's Minimum Principle. For  $E = 10^{-9}$  g/L the results are:  $S_{0,opt} = 528$  g,  $t_f = 132.0$  hrs,  $P_f = 63.846$  g; for  $E = 10^{+12}$  g/L:  $S_{0,opt} = 1411$  g,  $t_f = 122.5$  hrs,  $P_f = 89.709$  g.

The optimal control is obtained in a complete state feedback form, except for the switching time between batch (growth phase) and singular control (production phase), which has to be determined numerically in advance. Of course this makes a complete closed-loop implementation impossible. Further on, the singular control requires the on-line measurement—or at least a sufficiently accurate estimate—of all four variables  $S$ ,  $X$ ,  $P$  and  $V$ , which is hampered by a lot of practical difficulties.

As a consequence, it is useful to construct suboptimal strategies that do not suffer from the above difficulties, at the expense of an as small as possible loss in performance.

### 3.2 Heuristic $C_s$ - and $\mu$ -control

The construction of a suboptimal profile is based principally on the concept of a biphasic fermentation. Some of the ideas concerning heuristic  $C_s$ -control are reported elsewhere (e.g. Bonte et al. 1989, Van Impe et al. 1990, 1991a-b).

For the control during growth, we refer to the optimal solution: the substrate consumed for growth is added all at once at  $t = 0$  in order to obtain the highest possible value of  $\mu$  for all  $t$  during growth (equation (6)). This results in a fast biomass accumulation and—depending on the metabolic assumptions and some parameter values—almost no penicillin production.

During production, we focus on the specific rate  $\pi$ . Equation (5) indicates that  $\pi$  reaches its maximum at  $C_s = C_{s,crit} = \sqrt{K_p K_i}$ . The maximum value is  $\pi_{max} = \pi_m / (1 + 2\sqrt{K_p/K_i})$ . So during production, we shall keep  $C_s$  at  $C_{s,crit}$ . The control needed can be obtained from the differential equations (1) and (4):

$$u_{prod} = \frac{\sigma X}{s_F - C_s} \quad (11)$$

As a consequence, the conjunction point  $t_f$  of growth and production is simply dictated by the condition  $C_s = C_{s,crit}$ . The control is stopped when all substrate  $\alpha$  is used. As in the optimal case, the concluding batch-phase is stopped when  $dP/dt = 0$ . Note that the complete suboptimal control (called heuristic  $C_s$ -control) is obtained in closed-loop for a given initial amount  $S_0$ . As a result, the optimization problem is reduced to the one-dimensional optimization of  $S_0$  ( $E = 10^{-9}$  g/L:  $S_{0,opt} = 533$  g,  $P_f = 63.597$  g;  $E = 10^{+12}$  g/L:  $S_{0,opt} = 1404$  g,  $P_f = 89.430$  g).

Remark that for  $\mu_{substr}$  modeled with Monod-kinetics, (11) also keeps  $\mu$  constant. An equivalent heuristic  $\mu$ -control for Contois-kinetics follows from  $d\mu/dt = 0$ :

$$u_{prod} = \frac{\mu_C K_x C_x (C_s \mu + C_x \sigma) / N^2 + F(E_m, E_p) \sigma X / V}{\mu_C K_x C_x s_F / V N^2 + F(E_m, E_p) (s_F - C_s) / V} \quad (12)$$

$$N \triangleq K_x C_x + C_s \quad (13)$$

$$F(E_m, E_p) \triangleq \exp(-C_s/E_m) m_s Y_{x/s}/E_m$$

$$+ \exp(-C_s/E_p) \frac{Y_{x/s}}{Y_{p/s}} \left( \frac{\pi}{E_p} - \frac{d\pi}{dC_s} \right) \quad (14)$$

As for the conjunction of both phases in the case of Contois-kinetics, it is straightforward to keep switching on  $C_s = C_{s,crit}$  which maximizes  $\pi$ . Observe that this value does not follow from the equations themselves, but is rather a natural extension of the case of Monod-kinetics.

A further refinement of these strategies exists in optimizing the switching time. In other words, during production  $C_s$  (or  $\mu$ ) is kept constant, but not necessarily at  $C_s = C_{s,crit}$  (or ...). As in the case of Optimal Control, a two-dimensional optimization of  $S_0$  and  $t_2$  is obtained.

As for the measurement problem mentioned, remark that the number of variables to be determined has been reduced by one: there is no need for  $P$  in (11) or (12).

However, inspection of (11) and (12) indicates that these controllers can result in an unstable closed-loop performance: e.g. a positive step disturbance on the substrate feed rate  $u$  results in an increase of  $C_s$  (1), and thus in  $X$  (2). Both effects amplify the feed-back control (11) or (12), resulting in an unstable closed-loop behaviour. This is illustrated in Figure 1, where the influence of a step disturbance on  $u$  (11) with amplitude  $10^{-3}$  L/hr at time  $t = 90$  hr is shown:  $S_0 = 533$  g,  $t_f = 98.60$  hrs,  $P_f = 43.27$  g. Obviously, even a small disturbance causes a large decrease in  $P_f$ ! So a straightforward implementation of the controller (11) or (12) is not robust at all.

However, as these heuristic controllers are the translation of a realistic control objective—namely set-point control—we shall show in the following that they can serve as a basis for the development of more reliable conventional and even model-independent adaptive control schemes. In this paper we shall restrict the simulation results to the heuristic  $C_s$ -controller.

## 4 PID-controllers

### 4.1 Mathematical description

We assume that the substrate concentration  $C_s$  can be measured on-line, although with some time delay  $\Delta T$ . Recently some promising results concerning glucose concentration monitoring have been reported: e.g. Rishpon et al. 1990. However, there are still some difficulties with "in situ" sterilization, recalibration, ... Flow Injection Analyzers have been proposed also, but these suffer from very large time delays (e.g. Nielsen et al. 1989). So a measurement at time  $t$  becomes available to the controller at time  $t + \Delta T$ . We suppose that  $\Delta T$  is known in advance. As the measurement device can only take a new sample after completely processing the previous one, the sampling time of the discrete-time  $P$ -,  $PI$ - and  $PID$ -controllers is set equal to this time delay  $\Delta T$ .

Besides the measurement device and the associated  $A/D$  and  $D/A$  convertors, a practical realisation of such a controller should consist of a timing logic circuit and a controller circuit. When the (initially very high) substrate concentration has fallen to an a priori specified (low) set-point value  $C_s^*$ , the timing logic circuit triggers the con-

troller circuit. The controller then tries to keep this set-point during the production phase, in spite of disturbances and model uncertainties. Let us consider first of all the influence of  $\Delta T$ . Suppose that  $C_{s,k} \triangleq C_s(t = k\Delta T) > C_s^*$  and  $C_{s,k+1} < C_s^*$ . Due to the time delay, the controller only starts at time  $t = (k+2)\Delta T$ . In other words, the process remains uncontrolled for a time interval  $\Delta t \leq 2\Delta T$ !

The controller output (the substrate feeding rate) is a continuous-time signal with two components.

The first component is the feed-forward implementation of control law (11), calculated and stored in advance at some discrete-time instants, using the model equations (1-4) with the nominal parameters and the optimal value of  $S_0$ : the nominal heuristic  $C_s$ -controller. The value of this feed-forward control is adjusted continuously by linear interpolation between subsequent values. The second component is due to the  $P$ -,  $PI$ - or  $PID$ -controller. Between two samples, the second component remains constant.

A standard "textbook" discrete-time  $PID$ -controller has been implemented (Åström and Wittenmark 1984):

$$u_k = K_D \left( 1 + \frac{\Delta T}{T_{i,D}} \frac{1}{q-1} + \frac{T_{d,D}}{\Delta T} \frac{q-1}{q+\gamma} \right) e_k \quad (15)$$

where  $e_k = C_s^* - C_{s,k-1}$  ( $C_s$ -control) or  $e_k = \mu^* - \mu_{k-1}$  ( $\mu$ -control),  $\gamma = -T_{d,D}/(10\Delta T)$ , and  $e_{k-1}$  is initialized on 0. The controller parameters  $K_D$ ,  $T_{i,D}$  and  $T_{d,D}$  have been tuned by minimizing:

$$J = \sum_{i=1}^{30} e(t + i\Delta T)^2 \triangleq \sum_{i=1}^{30} (C_s^* - C_{s,i})^2 \quad (16)$$

after a step disturbance of amplitude  $10^{-3}$  L/hr at time  $t = 30.0$  hr ( $E = 10^{-9}$  maintenance model) or at time  $t = 45.0$  hr ( $E = 10^{+12}$  endogenous model). The numerical minimization has been done using an algorithm proposed by Brent (1973) (conjugated directions method).

### 4.2 Simulation results

$E$	$\Delta T$	$K_D$	$T_{i,D}$	$T_{d,D}$	$P_f$
$10^{-9}$	0.01	1.73	-	-	63.591
		1.41	$3.94 \cdot 10^{-2}$	-	63.592
		1.27	$2.18 \cdot 10^{-2}$	$5.61 \cdot 10^{-3}$	63.592
	0.10	.517	-	-	63.543
		.427	.169	-	63.550
		.382	.127	$2.97 \cdot 10^{-2}$	63.550
	1.00	.494	-	-	62.998
		.416	1.65	-	63.070
		.372	1.26	.284	63.070
$10^{+12}$	0.01	2.10	-	-	89.428
		1.71	$3.90 \cdot 10^{-2}$	-	89.428
		1.54	$2.17 \cdot 10^{-2}$	$5.59 \cdot 10^{-3}$	89.428
	0.10	.648	-	-	89.357
		.531	.169	-	89.368
		.476	.127	$2.96 \cdot 10^{-2}$	89.368
	1.00	.632	-	-	87.111
		.519	1.65	-	87.278
		.467	1.26	.285	87.279

Table 3 Optimal controller parameters, for some values of  $E$  and  $\Delta T$

The optimal controller parameters are shown in Table 3.

In Figures 2 and 3, the step responses for the optimal  $P$ -,  $PI$ - and  $PID$ -controllers have been shown ( $\Delta T = 0.1$  hr). For the  $P$ -controller, the gain  $K_D$  must be high enough in order to achieve an acceptable steady state error, which results in a very long settling time. As could be expected, the  $PI$ -controller is somewhat more sluggish than the  $PID$ -controller.

Table 3 also shows the nominal performance of these controllers. Figures 4 and 5 ( $\Delta T = 0.1$  hr) show the simulation results for the following disturbances:  $\mu_C = 0.088$  (-20%),  $K_x = 0.0072$  (+20%), a step  $\Delta u = 10^{-3}$  on  $u$  at  $t = 90$  hrs. The final production is for  $E = 10^{-9}$  g/L:  $P_f = 58.277$  g (P),  $P_f = 63.144$  g (PI),  $P_f = 63.146$  g (PID); for  $E = 10^{+12}$  g/L:  $P_f = 58.471$  g (P),  $P_f = 79.377$  g (PI),  $P_f = 79.385$  g (PID). Observe that in the figures shown the set-point  $C_s^*$  has been reached quite fast!

## 5 Adaptive control

### 5.1 Mathematical description

Since industrial high producing strains can undergo mutations during the fermentation process—reflected in time-varying model parameters—the use of an adaptive controller can be investigated. In an adaptive controller the controller parameters are updated on-line in response to changes in the dynamics of the process and to disturbances.

Parameter identification of stirred tank bioreactors essentially concerns the determination of both the yield coefficients and the parameters involved in the (usually nonlinear) specific rates, in a nonlinear state space model. Recent advances in both topics have been reported by e.g. Chen et al. 1990, Chen and Bastin 1991.

An application of STR (Self-Tuning Regulator) has been discussed by Montague et al. (1986): a linear transfer function model is estimated on-line, and based on this model a linear controller is designed according to some criterion.

However, as the fermentation process is known to be inherently non-linear, it is reasonable to expect that better control would result by exploiting from the outset the non-linearities in the model in the design of a nonlinear adaptive control algorithm. Several authors have recently adopted this philosophy (e.g. Goodwin and Sin 1984). A detailed survey of the use of adaptive controllers in bioreactor control is given by Bastin and Dochain (1990).

In this paper, we shall incorporate the measurement time delay  $\Delta T$  into an adaptive control algorithm proposed in Bastin and Dochain (1990). No assumption has been made concerning the exact analytical structure of the specific rates  $\sigma$  and  $\mu$ , thus circumventing the parameter identification problem. They are treated as time-varying parameters and estimated on-line, using a recursive least squares estimation scheme with a forgetting factor  $\lambda$ . Further, we assume that both  $C_s$  and  $C_x$  can be measured on-line with a time delay  $\Delta T$ .  $C_s$  and  $C_x$  satisfy:

$$\frac{dC_s}{dt} = -\sigma C_x + (s_F - C_s) \frac{u}{V} \quad (17)$$

$$\frac{dC_x}{dt} = \mu C_x - C_x \frac{u}{V} \quad (18)$$

A first-order Euler discretisation of the above equations and equation (4), with sampling time  $\Delta T$ , results in the

following discrete-time system:

$$C_{s,k+1} - C_{s,k} = -\sigma_k C_{x,k} \Delta T + (s_F - C_{s,k}) \frac{u_k}{V_k} \Delta T \quad (19)$$

$$C_{x,k+1} - C_{x,k} = \mu_k C_{x,k} \Delta T - C_{x,k} \frac{u_k}{V_k} \Delta T \quad (20)$$

$$V_{k+1} - V_k = u_k \Delta T \quad (21)$$

A discrete-time adaptive weighted one-step-ahead controller design has been chosen, minimising the following quadratic performance index:

$$J_{k+1} = \frac{1}{2} ((C_{s,k+1} - C_s^*)^2 + \rho u_k^2) \quad (22)$$

where the weighting factor  $\rho$  makes limitation of the control effort possible, in contrast with an ordinary one-step-ahead controller where the output can become very large. After substituting (19) in (22) and minimising the resulting expression with respect to  $u_k$ , we obtain the following non-linear control law:

$$u_k = \frac{\Delta T}{V_k} (s_F - C_{s,k}) \frac{\sigma_k C_{x,k} \Delta T - (C_{s,k} - C_s^*)}{(s_F - C_{s,k})^2 (\frac{\Delta T}{V_k})^2 + \rho} \quad (23)$$

However, at time  $t = k\Delta T$ , only  $C_{s,k-1}$  and  $C_{x,k-1}$  are known! We propose the following algorithm:

Step 1: Estimation of  $\sigma_{k-1}$  and  $\mu_{k-1}$  with RLS.

$$\begin{aligned} \epsilon_{C_s,k-1} &= C_{s,k-1} + \hat{\sigma}_{k-2} C_{x,k-2} \Delta T \\ &\quad - (s_F - C_{s,k-2}) \frac{u_{k-2}}{V_{k-2}} \Delta T - C_{s,k-2} \quad (24) \end{aligned}$$

$$\begin{aligned} \epsilon_{C_x,k-1} &= C_{x,k-1} - \hat{\mu}_{k-2} C_{x,k-2} \Delta T \\ &\quad + C_{x,k-2} \frac{u_{k-2}}{V_{k-2}} \Delta T - C_{x,k-2} \quad (25) \end{aligned}$$

$$P_{k-1} = P_{k-2} / (\lambda + C_{x,k-2}^2 \Delta T^2 P_{k-2}) \quad (26)$$

$$K_{k-1} = -C_{x,k-2} \Delta T P_{k-1} \quad (27)$$

$$\hat{\sigma}_{k-1} = \hat{\sigma}_{k-2} + K_{k-1} \epsilon_{C_s,k-1} \quad (28)$$

$$\hat{\mu}_{k-1} = \hat{\mu}_{k-2} - K_{k-1} \epsilon_{C_x,k-1} \quad (29)$$

Step 2: Prediction of  $C_{s,k}$ ,  $C_{x,k}$  and  $V_k$ , using (19-21) and the results of Step 1.

Step 3: Calculation of the controller action.

$u_k$  is calculated by substituting the results of the previous steps in (23). As  $\sigma$  varies slowly as compared with the dynamics of the system, we take  $\sigma_k \approx \hat{\sigma}_{k-1}$ . Finally the controller action is limited by:

$$u_k = \begin{cases} 0 & \text{if } u_k < 0 \\ U_{max} & \text{if } u_k > U_{max} \end{cases} \quad (30)$$

Observe that this controller can be implemented from  $k = 0$  on. For  $C_s = C_s^*$  and  $\rho = 0$ , the control law reduces to the heuristic  $C_s$ -controller (11)!

### 5.2 Simulation results

For  $E = 10^{-9}$  g/L,  $S_0 = 533$  g,  $\Delta T = 0.1$  hr,  $\rho = 0$ ,  $P_0 = 10^9$ ,  $\lambda = 0.6$  and  $U_{max} = \infty$ , we obtain a nominal performance  $P_f = 62.848$  g at  $t_f = 115.02$  hrs. The convergence of the estimated and predicted values and of the control action is illustrated in Figures 6 and 7. With the following disturbances:  $\mu_C = 0.088$  (-20%),  $K_x = 0.0072$  (+20%), the final amount is  $P_f = 63.183$  g at  $t_f = 137.46$

hrs. An additional step  $\Delta u = 10^{-3}$  on  $u$  at  $t = 90$  hrs results in  $P_f = 60.911$  g at  $t_f = 131.01$  hrs. In this case, there is an off-set on  $C_s$ , as the control (23) has no integrating action.

For  $E = 10^{+12}$  g/L,  $S_0 = 1404$  g,  $\lambda = 0.7$  and  $U_{max} = 10^{-2}$ , the other values as given, we obtain a nominal performance  $P_f = 87.978$  g at  $t_f = 108.99$  hrs. With the same disturbances on  $\mu_C$  and  $K_x$ , the final amount is  $P_f = 83.778$  g at  $t_f = 156.91$  hrs. An additional step  $\Delta u = 10^{-3}$  on  $u$  at  $t = 90$  hrs results in  $P_f = 82.503$  g at  $t_f = 117.39$  hrs. For  $\Delta T = 1$ , the controller did not converge.

## 6 Conclusions

In this paper, we have compared the performance of two controllers for the penicillin G fed-batch fermentation: a PID-controller superposed on a heuristic controller, and an adaptive controller. The influence of a measurement delay has been built in. Although the adaptive scheme needs no a priori information on the specific rates, simulation results indicate that it has excellent robustness properties for limited time delays. A detailed convergence analysis, incorporating the influence of the time delay, is the subject of an ongoing study.

### Nomenclature

$t$	: time (hr)
$\Delta T$	: time delay, sampling time (hr)
$S$	: amount of substrate in broth (g) (glucose)
$X$	: amount of cell mass in broth (g DM) (biomass)
$P$	: amount of product in broth (g) (penicillin)
$V$	: fermentor volume (L)
$u$	: input substrate feed rate (L/hr)
$C_s$	: substrate concentration in broth (g/L)
$C_x$	: cell mass concentration in broth (g/L)
$s_F$	: substrate concentration in feed stream (g/L)
$E_m$	: parameter related to the endogenous fraction of maintenance (g/L)
$E_p$	: parameter related to the endogenous fraction of production (g/L)
$K_x$	: Contois saturation constant for substrate limitation of biomass production (g/g DM)
$K_s$	: Monod saturation constant for substrate limitation of biomass production (g/L)
$K_p$	: saturation constant for substrate limitation of product formation (g/L)
$K_i$	: substrate inhibition constant for product formation (g/L)
$m_s$	: maintenance constant (g/g DM hr)
$k_h$	: penicillin hydrolysis constant (hr <sup>-1</sup> )
$Y_{x/s}$	: cell mass on substrate yield (g DM/g)
$Y_{p/s}$	: product on substrate yield (g/g)
$\sigma$	: specific substrate consumption rate (g/g DM hr)
$\mu$	: specific growth rate (hr <sup>-1</sup> )
$\mu_{substr}$	: sp. substrate to biomass conversion rate (hr <sup>-1</sup> )
$\mu_C$	: max. sp. growth rate for Contois kinetics (hr <sup>-1</sup> )
$\mu_M$	: max. sp. growth rate for Monod kinetics (hr <sup>-1</sup> )
$\pi$	: specific production rate (g/g DM hr)
$\pi_m$	: specific production constant (g/g DM hr)
$\gamma$	: filter parameter in discrete PID-controller
$q$	: forward shift operator
$K_D$	: proportional gain (L <sup>2</sup> /g hr)
$T_{i,D}$	: reset time (hr)
$T_{d,D}$	: derivative time (hr)

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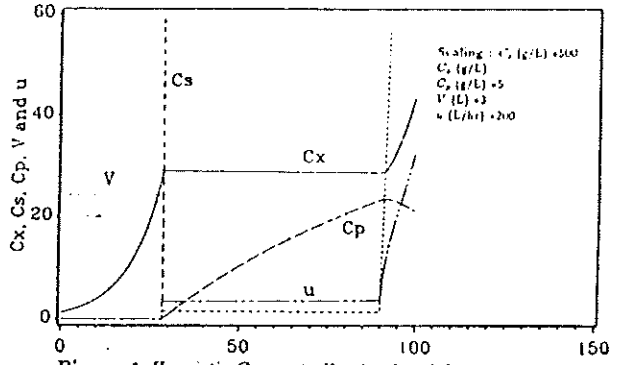


Figure 1 Heuristic  $C_s$ -controller in closed-loop  
 $E = 10^{-9}$  g/L,  $S_0 = 533$  g,  $\Delta u = 10^{-3}$  L/hr on  $t = 90$  hr

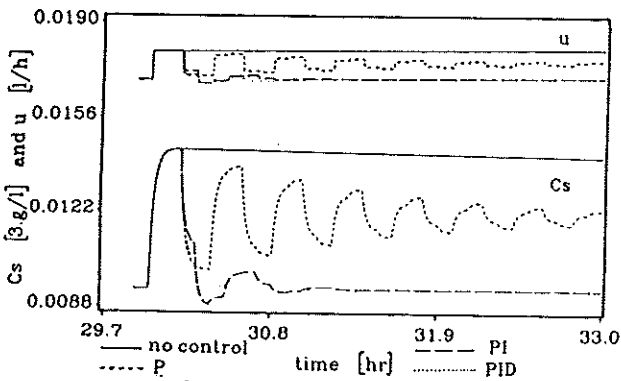


Figure 2  $E = 10^{-9}$  g/L Step response of the optimal P-, PI- and PID-controller -  $\Delta T = 0.1$  hr

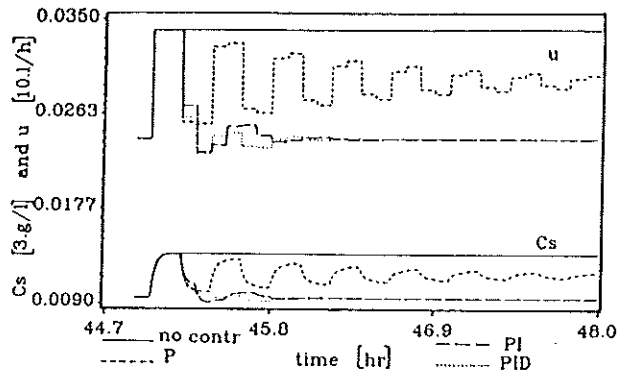


Figure 3  $E = 10^{12}$  g/L

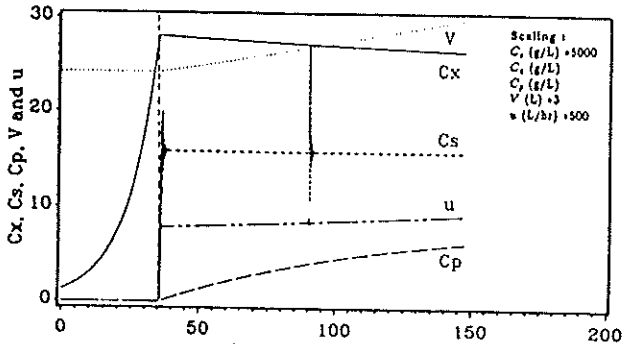


Figure 4  $E = 10^{-9}$  g/L  $\Delta T = 0.1$  hr,  $\mu_C = 0.088$ ,  $K_x = 0.0072$ ,  $\Delta u = 10^{-3}$  L/hr on  $t = 90$  hr

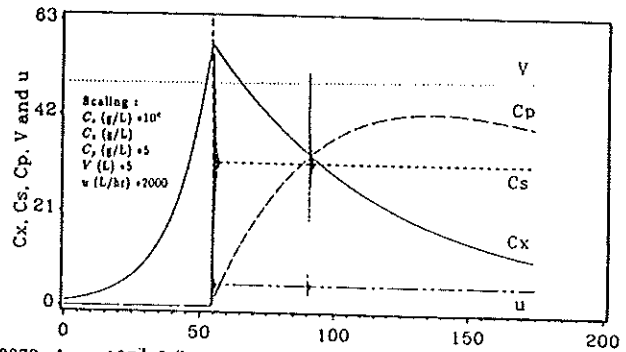


Figure 5  $E = 10^{12}$  g/L

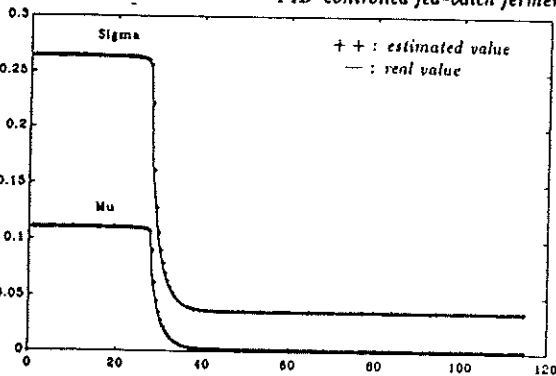


Figure 6  $E = 10^{-9}$ ,  $\Delta T = 0.1$  hr (time [hrs])  
 estimation of  $\sigma$  and  $\mu$

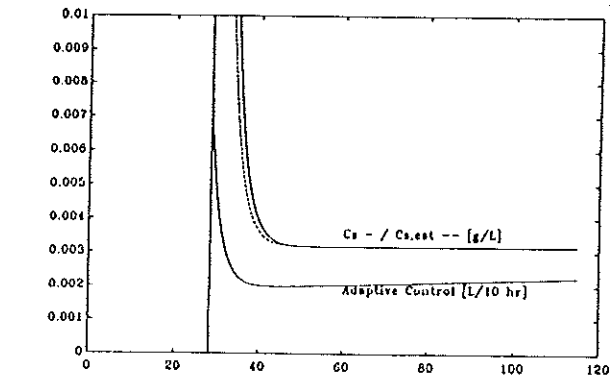


Figure 7  $E = 10^{-9}$ ,  $\Delta T = 0.1$  hr (time [hrs])  
 prediction of  $C_s$  and adaptive controller